

**DECLARATION AND POWER OF ATTORNEY FOR UNITED STATES PATENT APPLICATION**

☒ Original ☐ Supplemental ☐ Substitute

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, and

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a United States patent is sought on the invention entitled

**GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)**

the specification of which:

☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application No. \_\_\_\_\_  
(day/month/year)

and, if this box (☐) contains an ✕

☐ was amended on \_\_\_\_\_  
(day/month/year)

☒ was filed as Patent Cooperation Treaty international Application No.

PCT/EP2005/003175 on 24/03/2005  
(day/month/year)

and, if this box (☐) contains an ✕

☐ entered the national stage in the United States and was accorded Application No.

and, if this box (☐) contains an ✕

☐ was amended, subsequent to entry into the national stage, on \_\_\_\_\_  
(day/month/year)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) specifically referred to above and, if this application was filed as a Patent Cooperation Treaty international application, by any amendments made during the international stage (including any made under Patent Cooperation Treaty Rule 91, Article 19 and Article 34).

I acknowledge my duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including, for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or Patent Cooperation Treaty international filing date of the continuation-in-part application.

I hereby claim the benefit under 35 U.S.C. 119(a)-(d) or (f) or 365(b) of any foreign application(s) for patent, inventor's certificate or plant breeder's right certificate listed below and under 35 U.S.C. 365(a) of any Patent Cooperation Treaty international application(s) designating at least one country other than the United States listed below and have also listed below any foreign application(s) for patent, inventor's certificate or plant breeder's right certificate and Patent Cooperation Treaty international application(s) designating at least one country other than the United States for the same subject matter and having a filing date before that of the application the priority of which is claimed for that subject matter:

COUNTRY/REGION (OR P.C.T.)	APPLICATION No.	FILING DATE (day/month/year)	PRIORITY CLAIMED	
SI	P200400094	26/03/2004	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

APPLICATION NO.	FILING DATE (day/month/year)
-----------------	---------------------------------

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s) listed below and under 35 U.S.C. 365(c) of any Patent Cooperation Treaty international application(s) designating the United States listed below:

United States Application No.	United States Filing Date (day/month/year)	Status (Pending, Abandoned or U.S. Patent No.)	International Application No. and Filing Date (day/month/year)
----------------------------------	--	--	--

I hereby appoint all of the registered practitioners associated with Customer No. 001095, respectively and individually, as my attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

If this box (☐) contains an x ☒, I hereby authorize the registered practitioners associated with Customer No. 001095 and any others acting on my behalf to take any action relating to this application based on communications from Corporate Intellectual Property of Novartis International AG, Basle, Switzerland, or an affiliate thereof or a successor thereto, without direct communication from me.

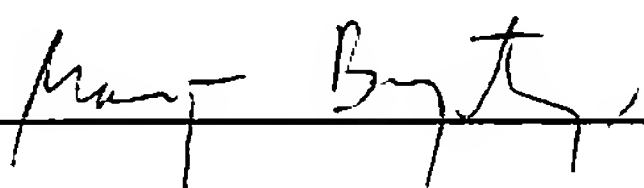
Please send all correspondence relating to this application to the address associated with Customer No. 001095.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of sole  
or first joint inventor

**Marija BOGATAJ**

Inventor's signature



Date

11.10.2006  
(day/month/year)

Residence

**1370 Logatec, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Stranska pot 8  
1370 Logatec  
Slovenia**

Full name of second  
joint inventor, if any

**Ales MRHAR**

Inventor's signature



Date

11.10.2006  
(day/month/year)

Residence

**1000 Ljubljana, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Milana Majcna 35  
1000 Ljubljana  
Slovenia**

**IMPORTANT:** Before this declaration is signed, the patent application (the specification, the claims and this declaration) must be read and understood by each person signing it, and no changes may be made in the application after this declaration has been signed.

---

Full name of third  
joint inventor, if any

**Anton LAVRIC**

Inventor's signature

Date

(day/month/year)

Residence

**1291 Skofljica, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Gasilska 24  
1291 Skofljica  
Slovenia**

---

Full name of fourth  
joint inventor, if any

**Manica CERNE**

Inventor's signature

*Černe Manica*

Date

20. 10. 2006  
(day/month/year)

Residence

**1292 Ig, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Matena 1  
1292 Ig  
Slovenia**

---

Full name of fifth  
joint inventor, if any

**Doris TIBAUT**

Inventor's signature

*Doris Tibaut*

Date

20. 10. 2006  
(day/month/year)

Residence

**1000 Ljubljana, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Povsetova 104  
1000 Ljubljana  
Slovenia**

---

Full name of sixth  
joint inventor, if any

**Anton STALC**

Inventor's signature



Date 3. 11. 2006

(day/month/year)

Residence

**4275 Begunje na Gorenjskem, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

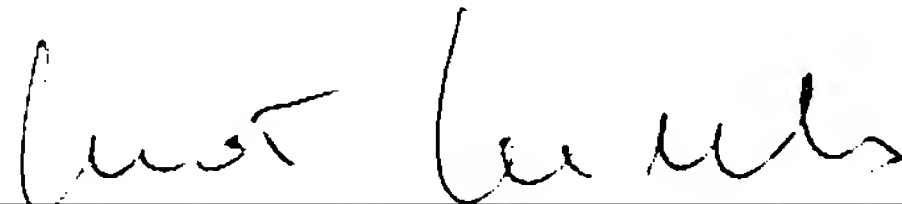
**Zapuže 1  
4275 Begunje na Gorenjskem  
Slovenia**

---

Full name of seventh  
joint inventor, if any

**Uros URLEB**

Inventor's signature



Date

29/10/2006

(day/month/year)

Residence

**1000 Ljubljana, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Periceva 29  
1000 Ljubljana  
Slovenia**

---

Full name of eighth  
joint inventor, if any

**Tatjana MATEOVIC**

Inventor's signature



Date

11. 10. 2006

(day/month/year)

Residence

**1000 Ljubljana, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

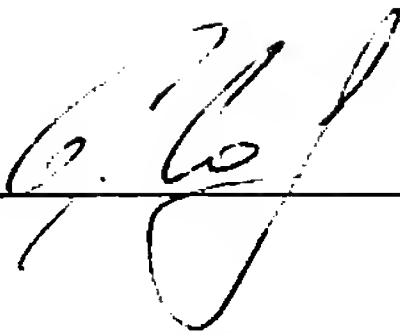
**Malnarjeva 43  
1000 Ljubljana  
Slovenia**

---

Full name of ninth  
joint inventor, if any

**Greta COF**

Inventor's signature



Date

11. 10. 2006  
(day/month/year)

Residence

**1215 Medvode, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

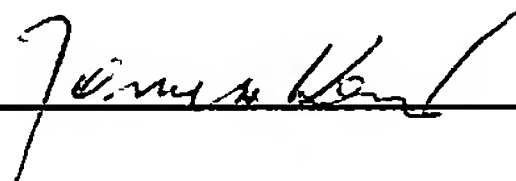
**Zbiljska cesta 103  
1215 Medvode  
Slovenia**

---

Full name of tenth  
joint inventor, if any

**Janez KERC**

Inventor's signature



Date

23. 10. 2006  
(day/month/year)

Residence

**1000 Ljubljana, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

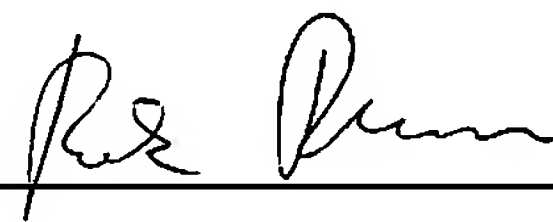
**Trebinjska 7  
1000 Ljubljana  
Slovenia**

---

Full name of eleventh  
joint inventor, if any

**Rok DREU**

Inventor's signature



Date

11. 10. 2006  
(day/month/year)

Residence

**2380 Slovenj Gradec, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Pot ob Homsnici 23  
2380 Slovenj Gradec  
Slovenia**

---

Full name of twelfth  
joint inventor, if any

**Fumio YONEDA**

Inventor's signature

Date

\_\_\_\_\_  
(day/month/year)

Residence

**Osaka 569-1020, Japan**

Citizenship

**citizen of Japan**

Post Office Address

**Takamidai 16-15  
Takatsuki City  
Osaka 569-1020  
Japan**

---

Full name of thirteenth  
joint inventor, if any

**Shizuko MURAOKA**

Inventor's signature

Date

\_\_\_\_\_  
(day/month/year)

Residence

**Osaka 580-0016, Japan**

Citizenship

**citizen of Japan**

Post Office Address

**Ueda 3-6-20-902  
Matsubara City  
Osaka 580-0016  
Japan**

**DECLARATION AND POWER OF ATTORNEY FOR UNITED STATES PATENT APPLICATION**

☒ Original

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☐ Substitute

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**GASTRORESISTANT PHARMACEUTICAL DOSAGE FORM COMPRISING N-(2-(2-PHTHALIMIDOETHOXY)-ACETYL)-L-ALANYL-D-GLUTAMIC ACID (LK-423)**

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☐ was amended on \_\_\_\_\_  
(day/month/year)

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(day/month/year)

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COUNTRY/REGION (OR P.C.T.)	APPLICATION No.	FILING DATE (day/month/year)	PRIORITY CLAIMED	
SI	P200400094	26/03/2004	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

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APPLICATION NO.	FILING DATE (day/month/year)
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Full name of sole  
or first joint inventor **Marija BOGATAJ**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
(day/month/year)

Residence **1370 Logatec, Slovenia**

Citizenship **citizen of Slovenia**

Post Office Address **Stranska pot 8  
1370 Logatec  
Slovenia**

Full name of second  
joint inventor, if any **Ales MRHAR**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
(day/month/year)

Residence **1000 Ljubljana, Slovenia**

Citizenship **citizen of Slovenia**

Post Office Address **Milana Majcna 35  
1000 Ljubljana  
Slovenia**

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---

Full name of third  
joint inventor, if any **Anton LAVRIC**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
(day/month/year)

Residence **1291 Skofljica, Slovenia**

Citizenship **citizen of Slovenia**

Post Office Address **Gasilska 24  
1291 Skofljica  
Slovenia**

---

Full name of fourth  
joint inventor, if any **Manica CERNE**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
(day/month/year)

Residence **1292 Ig, Slovenia**

Citizenship **citizen of Slovenia**

Post Office Address **Matena 1  
1292 Ig  
Slovenia**

---

Full name of fifth  
joint inventor, if any **Doris TIBAUT**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
(day/month/year)

Residence **1000 Ljubljana, Slovenia**

Citizenship **citizen of Slovenia**

Post Office Address **Povsetova 104  
1000 Ljubljana  
Slovenia**

---

Full name of sixth  
joint inventor, if any

**Anton STALC**

Inventor's signature

Date

\_\_\_\_\_  
(day/month/year)

Residence

**1000 Ljubljana, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Rasiska 5  
1000 Ljubljana  
Slovenia**

---

Full name of seventh  
joint inventor, if any

**Uros URLEB**

Inventor's signature

Date

\_\_\_\_\_  
(day/month/year)

Residence

**1000 Ljubljana, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Periceva 29  
1000 Ljubljana  
Slovenia**

---

Full name of eighth  
joint inventor, if any

**Tatjana MATEOVIC**

Inventor's signature

Date

\_\_\_\_\_  
(day/month/year)

Residence

**1000 Ljubljana, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Malnarjeva 43  
1000 Ljubljana  
Slovenia**

---

Full name of ninth  
joint inventor, if any

**Greta COF**

Inventor's signature

Date

\_\_\_\_\_  
(day/month/year)

Residence

**1215 Medvode, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Zbiljska cesta 103  
1215 Medvode  
Slovenia**

---

Full name of tenth  
joint inventor, if any

**Janez KERC**

Inventor's signature

Date

\_\_\_\_\_  
(day/month/year)

Residence

**1000 Ljubljana, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

**Trebinjska 7  
1000 Ljubljana  
Slovenia**

---

Full name of eleventh  
joint inventor, if any

**Rok DREU**

Inventor's signature

Date

\_\_\_\_\_  
(day/month/year)

Residence

**2380 Slovenj Gradec, Slovenia**

Citizenship

**citizen of Slovenia**

Post Office Address

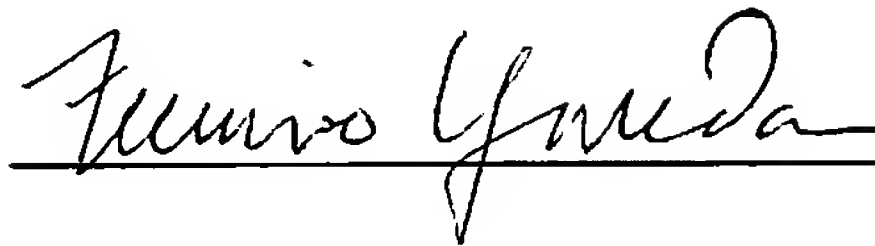
**Pot ob Homsnici 23  
2380 Slovenj Gradec  
Slovenia**

---

Full name of twelfth  
joint inventor, if any

**Fumio YONEDA**

Inventor's signature



Date

26/09/2006  
(day/month/year)

Residence

**Osaka 569-1020, Japan**

Citizenship

**citizen of Japan**

Post Office Address

**Takamidai 16-15  
Takatsuki City  
Osaka 569-1020  
Japan**

---

Full name of thirteenth  
joint inventor, if any

**Shizuko MURAOKA**

Inventor's signature



Date

26/09/2006  
(day/month/year)

Residence

**Osaka 580-0016, Japan**

Citizenship

**citizen of Japan**

Post Office Address

**Ueda 3-6-20-902  
Matsubara City  
Osaka 580-0016  
Japan**

## DECLARATION FOR PATENT APPLICATION

The undersigned hereby states and affirms that he/she is the administrator, executor, and/or person representative of Anton Lavric, a below named inventor, who died on 26.10.2006 in Ljubljana; and, upon information and belief, I hereby declare as follows:

My residence address, post office address (if applicable) and citizenship (country) are as follows:

I believe Anton Lavric to be an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

Gastroresistant Pharmaceutical Dosage Form Comprising -(2-(2-Phthalimidoethoxy)-Acetyl)-L-Alanyl-D-Glutamic Acid (LK-423)

the specification of which is attached hereto, UNLESS the following boxes are checked:

<input checked="" type="checkbox"/>	was filed as Patent Cooperation Treaty International Application No. PCT/EP2005/003175 on 24 March 2005	<input checked="" type="checkbox"/>	entered the national stage in the United States and was accorded U.S. Application No. 10/599,289
-------------------------------------	---	-------------------------------------	--

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability, as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Applications	Application No.	Country	Day/month/year filed	Priority Claimed:
	P200400094	Slovenia	26 March 2004	Yes

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application listed below.

Application No.	Filing Date:
-----------------	--------------

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application No.	Filing Date:	Status: Published	(patented, pending, abandoned)
Application No.	Filing Date:	Status:	(patented, pending, abandoned)

I hereby appoint the attorneys associated with Customer No. 00083721 (Lek(Slovenia)-Luedeka, Neely & Graham, P.C.) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.<sup>1</sup>

Address all telephone calls to Mark S. Graham, Esq., at telephone number (865) 546-4305, and all correspondence to the address of

Mark S. Graham, Esq.  
Reg. No. 32,355  
LUEDEKA, NEELY & GRAHAM, P.C.  
P.O. Box 1871  
Knoxville, Tennessee 37901

I hereby authorize the attorney(s) or agent(s) named herein to accept and follow instructions from Lek Pharmaceuticals d.d. as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the attorney(s) or agent(s) and myself. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney(s) or agent(s) named herein will be so notified by me.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of administrator/executor of deceased inventor Anton Lavric (given name, family name)	Bogomila LAVRIC	
Administrator's/Executor's Signature	Bogomila Lavric	Date: 6. Jul. 2009
Citizenship (Country)	SLOVENIA	
Residence Address	GASILSKA ULICA 24 1231 SKOFELICA SLOVENIA	
Post Office Address (if applicable)		

---

<sup>1</sup> Please note that the other inventor declarations filed with respect to this application date were dated several years ago. Since then, this application has been assigned by the other named inventors to the assignee listed above (i.e. Lek Pharmaceuticals d.d.). Lek Pharmaceuticals d.d. is now using customer number 00083721. Thus, although a different customer number was previously listed with respect to the other declaration(s), the prior customer number has been revoked and replaced with the customer number listed in this declaration (i.e., 00083721), which is now controlling and should be used henceforth with respect to all aspects of this application until the assignee of record or its authorized representative instructs otherwise.